

# Draft Deed of Assignment By Personal Representatives to a Beneficiary

Please use black ink and write in CAPITAL LETTERS. Any mistakes must be crossed through and any changes initialled.

## About this form

This form is designed to be used where the Legal Personal Representatives, Executors or Administrators wish to assign a policy to one beneficiary. **If the assignment relates to a qualifying life policy, the assignee must also fully complete and send us a Qualifying policy declaration form (LIFM10009) which is available on request – the plan's qualifying status will be lost if we do not receive the completed declaration form within 3 months of the assignment date.**

**In this document the Legal Personal Representatives, Executors or Administrators will be the assignor(s) and the beneficiary the assignee.**

The Prudential Assurance Company Limited and Prudential International Assurance plc, UK Branch, cannot accept responsibility for the use of this form. Whether this form is suitable will depend on the relevant circumstances. Before considering the use of any part of this form, the parties should consult with their legal professional adviser(s).

Please send this form to: **Prudential, Lancing BN15 8GB**

For Prudential Onshore Portfolio Bond, Prudential International Investment Bond and Prudential International Investment Portfolio, please send this form to:  
**Prudential International Assurance plc, Stirling FK9 4UE.**

## Section 1 – Draft Deed of Assignment to Beneficiary of a Will or through Intestacy

Assignment date

This Draft Deed of Assignment to the beneficiary is made on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

*This is the date the last party has signed this form.*

Please give the full names and addresses of all Legal Personal Representatives, Executors or Administrators.

*Legal Personal Representatives, Executors or Administrators*

*Legal Personal Representatives, Executors or Administrators*

*Legal Personal Representatives, Executors or Administrators*

*Legal Personal Representatives, Executors or Administrators*

and

Please give the full name, address and date of birth of the assignee – ie the beneficiary.

*Assignee*

Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

## Section 2 – Additional information

### Whereas

The Assignors are the Legal Personal Representatives, Executors or Administrators of the estate of the late:

Who died on

The Assignors are the owners of Prudential Assurance Company Limited, and Prudential International Assurance plc Policy No:

The Assignors wish to assign the policy/segment(s) to the Assignee.

The Assignors hereby assign absolutely to the Assignee the policy/segment(s) specified above and the Assignors shall have no further interest in the policy/segment(s).

## Section 3 – Declaration and signatures

**In and as witness whereof** these presents are executed and delivered as a Deed as follows:

### 1. Name of 1st Assignor

Signature

In the presence of: *Name of Witness*

**Witness** address

  
  

**Witness** signature

### 2. Name of 2nd Assignor

Signature

In the presence of: *Name of Witness*

**Witness** address

  
  

**Witness** signature

### 3. Name of 3rd Assignor

Signature

In the presence of: *Name of Witness*

**Witness** address

  
  

**Witness** signature

The policy/segment(s) to be assigned in the box opposite.

**All Assignors/Assignee** must sign this Draft Deed and have their signatures witnessed.

**Witnesses must** be over 18 and independent of the parties to this deed.

## Section 3 – Declaration and signatures (continued)

<b>4. Name of 4th Assignor</b> <input type="text"/>	Signature <input type="text" value="X"/>
In the presence of: <i>Name of Witness</i> <input type="text"/>	<b>Witness address</b> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Witness signature</b> <input type="text" value="X"/>	

  

<b>5. Name of Assignee</b> <input type="text"/>	Signature <input type="text" value="X"/>
In the presence of: <i>Name of Witness</i> <input type="text"/>	<b>Witness address</b> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Witness signature</b> <input type="text" value="X"/>	

## Section 4 – Data protection notice

### How we use your personal information

We, Prudential UK, take the privacy and protection of your personal information seriously.

So we've set out below information about our processing of your personal information, what rights you have, and how you can get in touch if you want to know more.

When we say personal information, we mean information about you, such as your name, date of birth and contact details. We collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

### Part A – How we use your personal information and why

We, M&G plc and our Business Partners, will use the personal information you provide to us, together with other information, for the following purposes:

- › the administration of our products and services, including to enable us to perform our obligations to you and to provide any relevant services as discussed with you prior to any purchase of a product or service

- › complying with any regulatory or other legal requirements
- › carrying out checks using agencies such as credit reference agencies, tracing companies, or publicly available information (See Part B for more)
- › the provision of customer services – like to reply to a question, or tell you that something's changing
- › automated decision-making or profiling (see Part C for more)
- › keeping your information on record and carrying out other internal business administration

Some of the purposes above are necessary to allow us to perform our contractual obligations to you and to enable us to comply with applicable laws and regulation. We may also rely on legitimate interests in using and sharing your personal information for the purposes described above to improve our products and services. This allows us to explore ways to develop our business and to gain insights into how our products and services are used. To the extent that we need your consent to use your personal information for the purposes described above, you explicitly provide your consent by signing and returning this form.

The Assignors will provide a copy of this Data Protection Notice to the Assignee. By submitting this form, the Assignors confirm that they have done this.

### Who we share your personal information with and why

We'll share your personal information within M&G plc and with our Business Partners, for any of the purposes set out in Part A. If you have a joint policy or investment, the other person may receive your personal information too. If appropriate, we may also pass on your personal information to financial crime prevention agencies, any legal, regulatory or government bodies.

As we, M&G plc, and some of our Business Partners are global companies, we might need to send your personal information to countries that have different data protection laws to the UK or the European Economic Area. These transfers will only be to countries in respect of which the European Commission has issued a data protection 'adequacy' decision, or to other countries, such as India or the United States of America, where appropriate safeguards have been put in place.

If you want to know more about these safeguards – like our use of the European Commission's Model Clauses which govern the transfer of information outside of the European Economic Area – further information is available on request.

### We keep your personal information for a set amount of time

Your personal information will be stored either for as long as you (or your joint policyholder) are our customer, or longer if required by law or as is otherwise necessary. It'll always be in line with our data retention policy.

### Part B – Reference checks

For certain products, we may use approved credit reference agencies, tracing companies, financial crime prevention agencies, or publicly available information, to help us to check your identity, as well as to prevent fraud and money laundering; this may include checks on your current or previous addresses. Results of these may be recorded for future reference.

These checks may also be carried out for a joint policy holder or person(s) that you provide personal information on. Should we ever lose contact with you, we may use these agencies to verify your address to help us get back in touch.

Any transfer of your personal information will always be done securely.

### Part C – We may use your personal information to make automated decisions or profile you

We, M&G plc and our Business Partners, may use your personal information to make automated decisions affecting you or to conduct other profiling.

To the extent that we conduct such automated decision making activity, we'll provide you with further information at the appropriate time.

### Part D – Use of your sensitive personal information

For certain products or services, we'll need to process your sensitive personal information, such as information relating to health, genetics, biometric identifiers and sexual orientation. To the extent that we need your explicit consent to process this kind of personal information in the manner described in Parts A, B, and C, you explicitly provide your consent by signing and returning this form.

### Part E – You're in control

When it comes to how we use your personal information, you've got the right to:

- › request a copy of your personal information for free (we may charge you for this if the request is manifestly unfounded or excessive)
- › in certain circumstances request that we move your personal information to another organisation if you want us to
- › request that we correct anything that's wrong, or complete any incomplete personal information
- › ask us to delete your personal information if it is no longer needed for the purposes set out in Part A or if there is no other legal basis for the processing
- › limit how we use your personal information or withdraw your consents (including automated decision making) you have given for the processing of your personal information
- › object to us using your personal information for direct marketing (including related profiling) or other processing based on legitimate interests
- › complain to a data protection authority or another independent regulator about how we're using it.

If you want to do any of these things, or would like an explanation as regards these rights, we've explained how you can get in touch in the Contact Us section.

## Section 4 – Data protection notice (continued)

If you do need to speak to us, it'll be useful to have to hand that the data controller of your personal information is Prudential UK. Prudential UK have also appointed a Data Protection Officer who can be reached at the address shown in the Contact Us section of this document.

We may monitor or record calls or any other communication we have with you. This might be for training, for security, or to help us check for quality.

### Part F – Acting on someone else's behalf?

If you give us personal information about another person (or persons), we'll take that to mean they have appointed and authorised you to act on their behalf. This includes providing consent to:

- › our processing of their personal information and sensitive personal information (as we've explained in Parts A, B, C, and D above)
- › you getting any information protection notices on their behalf.

If for any reason you are concerned as to whether you are permitted to provide us with the other person's information, please contact us on the phone number below before sending us anything.

### Contact us

If you want to exercise your rights in Part E or if you require any other information about any other part of this notice, you can contact us in a number of different ways.

Write to us at: Customer Service Centre  
Prudential  
Lancing  
BN15 8GB

Call us on: 0800 000 000

Or visit: [www.pru.co.uk](http://www.pru.co.uk)

Prudential UK means The Prudential Assurance Company Limited, Prudential Distribution Limited, Prudential Life Time Mortgages Limited, Prudential Pensions Limited, and Prudential Financial Planning Limited as appropriate.

M&G plc means any affiliates of Prudential UK (including, Prudential International Assurance plc, PGDS (UK ONE) Limited, Prudential Global Services Private Limited, M&G Investments Group, and Prudential Corporate Pensions Trustee Limited.

Business Partners means our service providers, accountants, auditors, IT service and platform providers, intermediaries, reinsurers, retrocessionaires, investment managers, agents, pension trustees (and other stakeholders), scheme advisors, introducers, selected third party financial and insurance product providers, and our legal advisers.



[www.pru.co.uk](http://www.pru.co.uk)

"Prudential" is a trading name of The Prudential Assurance Company Limited (PACL) which is registered in England and Wales. Registered Office at 10 Fenchurch Avenue, London EC3M 5AG. Registered number 15454. Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

INF236001 10/2019