

Confirmation of verification of identity form

Private individual

How to fill out this form

Please use black ink and write in CAPITAL LETTERS.

Any corrections must be initialled. Please don't use correction fluid as this will invalidate your application.

About this form

If you have any questions about this form, you can call us on **0808 234 2200** between 8.30am and 6.00pm Monday to Friday.

We might record your call to make sure our service is up to standard. Please return both pages of this form to:

Prudential International, Stirling FK9 4UE.

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a 3rd party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

This form can't be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
- those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

Introduction by a UK regulated firm

Part 1 – Details of individual

Full forenames

Surname

Current address

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Postcode

Previous address if individual has changed address in the last three months.

Previous address

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Part 2 – Confirmation

This confirmation must carry an original signature, or an electronic equivalent.

I confirm that:

(a) the information in Part 1 was obtained by me in relation to the customer;

(b) the evidence I have obtained to verify the identity of the customer (tick only one):

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

(c) Based on the knowledge I have gained of the customer I have (tick only one):

- no reason to believe that the tax residency self-certification requires any follow up action
- reason to believe that the tax residency self-certification requires follow up action

If follow up action is required, please indicate why

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name

Position

Part 3 – Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

FCA reference number

pruadviser.co.uk

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